

PENG Ruoyu

Partner



Areas of Practice

Mr. Peng's major practice areas include financial compliance and criminal risk management, financial dispute resolution, representation in criminal-civil cases, and defense of economic crimes.

During his decades years of legal practice, Mr. Peng has directly investigated or organized the investigation of a number of economic crime cases with nationwide influence, so that he is well versed in the judicial procedures and professional points of economic crimes.

Mr. Peng specializes in crime cases involving securities and futures. He has handled many serious securities crime cases, such as Beijing's first case of using undisclosed information to trade, and assisted clients with enforcement investigations, administrative penalty hearings, and criminal defense in many securities administrative and criminal cases with great social impact, including insider trading, manipulation of the securities markets, rat trading, violation of information disclosure, failure to perform due diligence, and others.

Furthermore, Mr. Peng has participated in academic researches conducted by financial regulators and judicial departments on difficult and controversial cases for several consecutive years.

Before joining Lifang & Partners, Mr. Peng had accumulated extensive experience in investigating and handling economic crime cases, and he had also engaged in compliance affairs and investment banking business for a renowned public fund, with a deeper understanding of financial industry and capital markets.

Notable Cases

- Representing a star public fund manager on suspicion of rat trading, and assisting the client to obtain non-prosecution result, which is the first case of its kind in China within the scope of investigation.
- Handling a case related to accepting bribes by non-state functionaries referred by the CCDI, insisting on the defense of innocence during the suspect's arrest and detention for nearly



one year, which resulted in the non-prosecution decision.

- Representing several executives of securities companies and funds on suspicion of transferring benefits, and helping them to be exempted from criminal prosecution.
- Representing an executive of an investment bank on suspicion of insider trading, which was referred to the public security authorities by SFC and was not filed.
- Representing a client on suspicion of manipulating the securities market, which involved a huge amount of money and proposed penalties, and was ultimately granted mitigated penalties after participating in hearings and pleadings in accordance with the law.
- Representing the client on suspicion of manipulating the securities market, which was given lighter punishment at the administrative enforcement stage and exempted from criminal referral.
- Representing a company listed on the Shenzhen Stock Exchange in a criminal-civil case.
- Representing a listed company in reporting and charging the original actual controller for suspected non-disclosure of significant information, contract fraud and other crimes.
- Providing legal services on criminal compliance for a public fund.

Education

- LLM, Sun Yat-sen University
- LLB, Wuhan University

Qualifications

- China Licensed Lawyer
- Securities Practice Qualification
- Fund Practice Qualification
- Qualification of Independent Directors of Listed Companies

Working Languages

- Mandarin Chinese / English